

Please print clearly in black ink only and initial any changes to this form. All fields must be completed unless noted.

† If your personal information or address have changed, visit alliantcreditunion.com to obtain and complete a Personal Information Change form or Address Change form and return with this Agreement.

1. Primary Owner Information [†] (Must be a current Alliant member)

First Name _____ Middle Name _____ Last Name _____

Member Account Number _____
 Employment Status: Employed Homemaker Retired Self-employed
 Student Unemployed

Occupation-if retired, previous occupation _____ Employer Name-if student, school name _____

Employer/School City, State and Country _____

Work Phone (optional) _____ Email _____

IMPORTANT INFORMATION ABOUT PROCEDURES FOR ADDING A NEW OWNER WHO IS NOT A CURRENT MEMBER OF ALLIANT In accordance with the USA PATRIOT Act (SECTION 326): To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. Please Include a photocopy of valid Passport or U.S. government issued photo ID for all new owners age 18 and older.

2. Joint Owner Information (Optional)

- **Joint Owners cannot be added to IRA or Coverdell ESA Certificates. If the applicant is under age 18, these types of accounts cannot be opened if the Primary Owner has a Checking account.**
- **If the Primary Owner is between age 13-17 and has a Checking account, the Joint Owner who is receiving correspondence for that account must be added to the Certificate account. Additional Joint Owners can be added.**

Member Account Number (if an existing Alliant member) _____

U.S. Citizen or U.S. Person (including a U.S. Resident Alien) Yes No

First Name _____ Middle Name _____ Last Name _____

Social Security Number/ITIN _____ Date of Birth _____

Street Address (include unit # - PO Box not accepted) _____

City _____ State/Province _____ Zip Code/Postal Code _____ Country _____

Home Phone _____ Cell Phone (optional) _____

Employment Status: Employed Homemaker Retired Self-employed
 Student Unemployed

Occupation-if retired, previous occupation _____ Employer Name-if student, school name _____

Employer/School City, State and Country _____

2. Joint Owner Information (Continued)

Work Phone (optional) _____ Email (required if Primary Owner is under age 18) _____

ID# (e.g., U.S. Driver's License, State or Military ID, or a Passport) _____ Issuing State/Country _____

Issue Date _____ Expiration Date _____

Mother's Maiden Name (required) _____

3. Certificate Type and Term¹

- Share Certificate
 IRA Certificate*
 Traditional IRA Roth IRA SEP IRA Coverdell ESA

Flex Term: 12-17 month 18-23 month 24-35 month 36-47 month

I want my Certificate to mature on _____ (date must be between 12 and 47 months)

Fixed Term: 48 month 60 month

* IRA or Coverdell ESA must be established before a Certificate of the same type can be added to your account. Funds can then be transferred from your IRA/Coverdell ESA to the Certificate.

At maturity I would like the funds in my Certificate to:

- automatically renew transfer to an IRA of the same type
 transfer to a Share account Share ID _____

4. Dividend Withdrawal Options

- I would like to withdraw my monthly dividends by
 transfer to a Share within my Alliant account Share ID _____
 check to be mailed monthly to my address on record
 An IRA Withdrawal Form must be completed if you are electing to have dividends withdrawn from an IRA Certificate account.
- I would like my monthly dividends to rollover in my Certificate account

5. Initial Deposit/Funding

\$1,000 minimum required to open a Certificate. \$25,000 minimum required to open a Jumbo Certificate.

Check or Money Order payable to Alliant Credit Union enclosed for:
 \$ _____

Transfer from an existing Alliant account
 Either the Primary Owner or Joint Owner must be an owner on the existing account from which the funds are being transferred.

\$ _____ Member Account Number _____
 Transfer from my: Savings Supplemental Savings Checking

Transfer from my existing Alliant IRA
 \$ _____ Member Account Number _____
 Transfer from my: Traditional IRA Roth IRA SEP IRA Coverdell ESA

6. Signatures and Agreements

I/We agree that the terms of this Certificate Enrollment Agreement, my/our Membership Enrollment Agreement, and the Account Agreement and Disclosures booklet and Fee Schedule provided to me/us upon establishing membership remain in full effect and constitute a contract between Alliant Credit Union and me/us, subject to state and federal laws and the Uniform Commercial Code, as adopted in the state of Illinois.

Include a photocopy of valid U.S. government- or state-issued photo ID or a Passport with documentation verifying the home address, such as a utility bill or lease agreement, for all owners age 18 and older on the account who are not currently members of Alliant Credit Union.

X _____ Date _____
 Primary Owner Signature (required)

X _____ Date _____
 Joint Owner Signature (required if applicable)

Note: If the Primary Owner is a child under 12 years of age, the parent or guardian must sign the child's name and his/her own name and date (i.e. "John Smith, a minor, by parent, Mary Smith"). If the Primary Owner is a child under 18 years of age, the parent or guardian must be a Joint Owner on the account in order to transact business.

1 Alliant Flex Term Certificates require a \$1,000 minimum deposit to open and are available as Regular Share Certificates, Traditional IRA Certificates, Roth IRA Certificates, Coverdell Education Savings Account (ESA) Certificates and SEP Certificates. In addition, prior to opening an IRA Certificate (Traditional, Roth and SEP) or Coverdell ESA Certificate, you must have or establish an IRA or Coverdell ESA with Alliant. You may not add any additional money to your Certificate after opening. Dividends compound monthly and are paid on the last day of the month and at maturity. There are no penalties for monthly dividend withdrawals. Early withdrawal penalties do apply if the Certificate is closed before the maturity date unless the Certificate funds are moved to Alliant Retirement and Investment Services. If you wish to move your Certificate funds to Alliant Retirement and Investment Services, you must wait until 7 days after your Certificate has been opened. Other exceptions to early withdrawal penalties may be allowed. Monthly dividend withdrawals are not eligible on IRA Certificates if owner is under age 59 1/2 or Coverdell ESA Certificates. Any monthly withdrawals or transfers reduce earnings. Upon renewal, any joint owner(s) and/or beneficiary(ies) you have on your Certificate will automatically transfer to your renewed Certificate. You may make changes to your joint owner(s) and/or beneficiary(ies) at any time during the term of your Certificate through Alliant Online Banking at alliantcreditunion.com or by downloading a Joint Owner or Beneficiary Add/Delete form available at alliantcreditunion.com. Please note that neither the primary account owner nor joint owner may be a beneficiary on the Certificate account. You are not locked into a rate until you open or renew your Alliant Certificate. Alliant Certificate rates may change daily. You must be or become an Alliant member to open an Alliant Certificate.

FOR OFFICE USE ONLY:

1 Processing: Teller ID _____ Branch/Dept. _____ Date _____

2 Verification: Reviewed by Teller ID _____ Date _____

3 The new account owner's information provided on this form and corresponding photo identification were collected and verified in accordance with the USA Patriot Act by _____

4 Imaging: Forward to Document & Imaging (All steps must be completed prior to imaging.)

COMPLETE AGREEMENT AND RETURN:

- Stop by an Alliant Branch, or mail to:
 Alliant Credit Union
 Attn: Account Services
 PO Box 66945
 Chicago, IL 60666-0945
- Fax to: 773-462-2124

FOR MORE INFORMATION:

Call 800-328-1935 (24/7)
 TDD/TTY 773-462-2300
 (Mon.- Fri., 7am to 7pm CT)



PO Box 66945, 11545 W. Touhy Avenue
 Chicago, IL 60666-0945
alliantcreditunion.com



**Federally insured by
 NCUA**